IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Jenny LOUIE-HELM et al.

Divisional of Serial No.: 10/014,750

Group Art Unit: Unassigned

Filing Date: Filed Herewith

Examiner: Unassigned

Title: FORMULATION OF AN ERODIBLE, GASTRIC RETENTIVE ORAL DOSAGE

FORM USING IN VITRO DISINTEGRATION TEST DATA

INFORMATION DISCLOSURE STATEMENT

Mail Stop Patent Application

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This is an Information Disclosure Statement submitted for the Examiner's consideration. Applicants respectfully request that the Examiner review and make of record the references identified below.

The references identified below were disclosed and/or cited in parent application Serial No. 10/014,750, filed October 25, 2001, and, as such, copies thereof are not included pursuant to the provisions of 37 CFR § 1.98(d).

PTO-1449 forms listing the references accompany this paper. Applicants would appreciate the Examiner's initialing and returning the forms to indicate that the references have been reviewed and made of record. The references are as follows:

Document No.	Issue Date / Publication Date	Patentee / Applicant
3,960,150	6/1/76	Hussain et al.
4,434,153	2/28/84	Urquhart et al.
4,690,824	9/1/87	Powell et al.
4,695,467	9/22/87	Uemura et al.
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Serial No. 10/066,146	Filed 2/1/02	Lim et al.
Serial No. 10/152,914	Filed 5/20/02	Fara et al.
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BAUMGARTNER et al. (2000), "Optimisation of Floating Matrix Tablets and Evaluation of Their Gastric Residence Time," *International Journal of Pharmaceutics* 195:125-135.

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JU et al. (1995), "Drug Release from Hydrophillic Matrices. 2. A Mathematical Model Based on the Polymer Disentanglement Concentration and the Diffusion Layer," *Journal of Pharmaceutical Sciences* 84(12):1464-1477.

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KATORI et al. (1995), "Estimation of Agitation Intensity in the GI Tract in Humans and Dogs Based on in Vitro/in Vivo Correlation," Pharmaceutical Research 12(2):237-243.

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LAPIDUS et al. (1966), "Some Factors Affecting the Release of a Water-Soluble Drug from a Compressed Hydrophilic Matrix," *Journal of Pharmaceutical Sciences* <u>55(8)</u>:840-843.

LAPIDUS et al. (1968), "Drug Release from Compressed Hydrophilic Matrices," *Journal of Pharmaceutical Sciences* 57(8)1292-1301.

MAGGI et al. (2000), "High Molecular Weight Polyethylene Oxides (PEOs) as an Alternative to HPMC in Controlled Release Dosage Forms," *International Journal of Pharmaceutics* 195:229-238.

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SHAMEEM et al. (1995), "Oral Solid Controlled Release Dosage Forms: Role of GI-Mechanical Destructive Forces and Colonic Release in Drug Absorption Under Fasted and Fed Conditions in Humans," *Pharmaceutical Research* 12(7):1049-1054.

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YANG et al. (1996), "Zero-Order Release Kinetics from a Self-Correcting Floatable Asymmetric Configuration Drug Delivery System," *Journal of Pharmaceutical Sciences* 85(2):170-173.

This Information Disclosure Statement is not intended as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any of the above references constitutes prior art to the present application within the meaning of 35 USC § 102.

As this Information Disclosure Statement is being filed concurrently with the application, no fee is required.

Respectfully submitted,

By:

Karen Canaan

Registration No. 42,382

REED & EBERLE LLP 800 Menlo Avenue, Suite 210 Menlo Park, California 94025 (650) 330-0900 Telephone (650) 330-0980 Facsimile Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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	Sheet	1	of	
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Complete if Known					
Application Number Divisional of 10/014,750					
Filing Date Filed Herewith					
First Named Inventor	Jenny LOUIE-HELM et al.				
Art Unit Unassigned					
Examiner Name	Unassigned				
Attorney Docket Number	3100-0003.10				

U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No.	Document No.	Issue Date or Publication Date	Name of Patentee or Applicant of Cited Document	Class	Subclass	Filing Date if Appropriate
	AA	3,960,150	6/1/76	Hussain et al.			
	AB	4,434,153	2/28/84	Urquhart et al.			
	AC	4,690,824	9/1/87	Powell et al.			
	AD	4,695,467	9/22/87	Uemura et al.			
	AE	4,748,023	5/31/88	Tamás et al.			
	AF	4,786,503	11/22/88	Edgren et al.			
	AG	4,839,177	6/13/89	Colombo et al.			
	AH	4,851,232	7/25/89	Urquhart et al.			
	AI	4,865,849	9/12/89	Conte et al.			
	AJ	5,002,772	3/26/91	Curatolo et al.			
	AK	5,007,790	4/16/91	Shell			
	AL	5,064,656	11/12/91	Gergely et al.			
	AM	5,085,865	2/4/92	Nayak			
	AN	5,213,808	5/25/93	Bar-Shalom et al.	1		
	AO	5,232,704	8/3/93	Franz et al.			·
	AP	5,393,765	2/28/95	Infeld et al.			
	AQ	5,422,123	6/6/95	Conte et al.			
	AR	5,425,950	6/20/95	Dandiker et al.			
	AS	5,487,901	1/30/96	Conte et al.			<u>-</u> -
	AT	5,508,040	4/16/96	Chen		 	
	AU	5,549,913	8/27/96	Colombo et al.		† — — —	-
	AV	5,582,837	10/10/96	Shell		† — — — — — — — — — — — — — — — — — — —	
	AW	5,609,590	3/11/97	Herbig et al.	†		
	AX	5,626,874	5/6/97	Conte et al.	1		
	AY	5,635,210	6/3/97	Allen, Jr. et al.	 	1	. ,
-	AZ	5,650,169	7/22/97	Conte et al.			
	BA	5,651,985	7/29/97	Penners et al.	1		
	BB	5,681,583	10/28/97	Conte et al.	1		
	BC	5,688,776	11/18/97	Bauer et al.			
	BD	5,736,159	4/7/98	Chen et al.	1		
	BE	5,738,874	4/14/98	Conte et al.			
	BF	5,780,057	7/14/98	Conte et al.			
	BG	5,783,212	7/21/98	Fassihi et al.			
	ВН	5,811,126	9/22/98	Krishnamurthy			
	BI	5,827,984	10/27/98	Sinnreich et al.	1		
. –	BJ	5,837,379	11/17/98	Chen et al.	 		-
	BK	5,840,329	11/24/98	Bai	 	 	
	BL	5,840,332	11/24/98	Lerner et al.	 	 	
	BM	5,861,173	1/19/99	Nishioka et al.	 	 	
	BN	5,891,474	4/6/99	Busetti et al.	 	 	
	BO	5,897,874	4/27/99	Stevens et al.	 	 	
	BP	5,916,595	6/29/99	Chen et al.		 	
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Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

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Sheet 2 of	
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Complete if Known					
Application Number Divisional of 10/014,750					
Filing Date	Filed Herewith				
First Named Inventor	Jenny LOUIE-HELM et al.				
Art Unit	Unassigned				
Examiner Name	Unassigned				
Attorney Docket Number	3100-0003.10				

			U.S. PATENT I	OOCUMENTS			
Examiner Initials*	Cite No.	Document No.	Issue Date or Publication Date	Name of Patentee or Applicant of Cited Document	Class	Subclass	Filing Date if Appropriate
	BR	5,972,389	10/26/99	Shell et al.		1	
	BS	6,027,748	2/22/00	Conte et al.			
	BT	6,033,685	3/7/00	Qiu et al.			
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	BV	6,093,420	7/25/00	Baichwal			
	BW	6,120,803	9/19/00	Wong et al.			
	BX	6,174,497	1/16/01	Roinestad et al.			
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·	CA	6,207,197	3/27/01	Illum et al.			
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	CD	6,340,475	01/22/02	Shell et al.			
	CE	6,368,628	4/9/02	Seth			
	CF	6,451,808	9/17/02	Cowles			
	CG	6,488,962	12/3/02	Berner et al.			
	CH	2001/0018070	8/30/01	Shell et al.	ŀ		
	CI	Serial No. 09/425,491	10/22/99	Shell et al			10/22/99
	CJ	Serial No. 10/029,134	10/25/01	Gusler et al.			10/25/01
	CK	Serial No. 10/045,823	11/6/01	Shell et al.			11/6/01
	CL	Serial No. 10/066,146	2/1/02	Lim et al.			2/1/02
	CM	Serial No. 10/152,914	5/20/02	Fara et al.			5/20/02
	CN	Serial No. 10/280,309	10/25/02	Berner et al.			10/25/02
	CO	Serial No. 10/280,852	10/25/02	Devane et al.			10/25/02

		FOREIGN	N PATENT DOCUME	ENTS	-		
Examiner Initials*	Cite No.	Foreign Patent Document No.	Publication Date	Country	Class	Subclass	Т
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	CQ	EP 0795324 A2	9/17/97	Europe			
	CR	GB 1330829	9/19/73	United Kingdom			
	CS	WO 96/32097 A1	10/17/96	PCT			
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	CZ	WO 02/083687 A1	10/24/02	PCT			

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Signature	Consider	ed
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Filing Date	Filed Herewith	
				First Named Inventor	Jenny LOUIE-HELM et al.	
				Art Unit	Unassigned	
				Examiner Name	Unassigned	
Sheet	3	of	4	Attorney Docket Number	3100-0003.10	

		OTHER DOCUMENTS — NONPATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), Title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Т
mittuis	DA	ABRAHAMSSON et al. (1993), "Absorption, Gastrointestinal Transit, and Tablet Erosion of Felodipine	+
	DA	Extended-Release (ER) Tablets," <i>Pharmaceutical Research</i> 10(5):709-714.	1
	DB	APICELLA et al. (1993), "Poly(ethylene oxide) (PEO) and Different Molecular Weight PEO Blends	╁┈
		Monolithic Devices for Drug Release," <i>Biomaterials</i> 14(2):83-90.	1
	DC	BAUMGARTNER et al. (2000), "Optimisation of Floating Matrix Tablets and Evaluation of Their Gastric	╁
	<i>D</i> C	Residence Time," International Journal of Pharmaceutics 195:125-135.	1
	DD	BETTINI et al. (1994), "Swelling and Drug Release in Hydrogel Matrices: Polymer Viscosity and Matrix	╀╌
	DD	Porosity Effects," European Journal of Pharmaceutical Sciences 2:213-219.	
	DE	CHEN et al. (2000), "Gastric Retention Properties of Superporous Hydrogel Composites," <i>Journal of</i>	╁
	DL	Controlled Release 64:39-51.	l
	DF	COLUMBO et al. (1990), "Drug Release Modulation by Physical Restrictions of Matrix Swelling,"	┢
	Dr	International Journal of Pharmaceutics 63:43-48.	
·	DG		╀
	DG	DAVIS et al. (1986), "The Effect of Density on the Gastric Emptying of Single- and Multiple-Unit Dosage	
	DII	Forms," Pharmaceutical Research 3(4):208-213.	╄
	DH	DESHPANDE et al. (1997), "Development of a Novel Controlled-Release System for Gastric Retention,"	
	DI	Pharmaceutical Research 14(6):815-819.	╂
	DI	FORD et al. (1987), "Importance of Drug Type, Tablet Shape and Added Diluents on Drug Release	
		Kinetics from Hydroxypropylmethylcellulose Matrix Tablets," <i>International Journal of Pharmaceutics</i>	l
	D.I	<u>40</u> :223-234.	╄-
	DJ	GAO et al. (1996), "Swelling of Hydroxypropyl Methylcellulose Matrix Tablets. 2. Mechanistic Study of	ļ
		the Influence of Formulation Variables on Matrix Performance and Drug Release," Journal of	
	DI	Pharmaceutical Sciences 85(7):732-740.	┺
	DK	HWANG et al. (1998), "Gastric Retentive Drug-Delivery Systems," Critical Reviews in Therapeutic Drug	
	DI	Carrier Systems 15(3):243-284.	↓_
	DL	JU et al. (1995), "Drug Release from Hydrophillic Matrices. 1. New Scaling Laws for Predicting Polymer	
		and Drug Release Based on the Polymer Disentanglement Concentration and the Diffusion Layer,"	
		Journal of Pharmaceutical Sciences <u>84(12)</u> :1455-1463.	L.
	DM	JU et al. (1995), "Drug Release from Hydrophillic Matrices. 2. A Mathematical Model Based on the	
		Polymer Disentanglement Concentration and the Diffusion Layer," Journal of Pharmaceutical Sciences	
		<u>84(12):1464-1477.</u>	L
	DN	KANIWA et al. (1983), "The Bioavailability of Flufenamic Acid and Its Dissolution Rate from Capsules,"	
		International Journal of Clinical Pharmacology, Therapy and Toxicology 21(2):56-63.	<u> </u>
	DO	KATORI et al. (1995), "Estimation of Agitation Intensity in the GI Tract in Humans and Dogs Based on in	
		Vitro/in Vivo Correlation," Pharmaceutical Research 12(2):237-243.	
	DP	KIM (1995), "Drug Release from Compressed Hydrophilic POLYOX-WSR Tablets," Journal of	
		Pharmaceutical Sciences <u>84(3)</u> :303-306.	
	DQ	LAPIDUS et al. (1966), "Some Factors Affecting the Release of a Water-Soluble Drug from a Compressed	
		Hydrophilic Matrix," Journal of Pharmaceutical Sciences 55(8):840-843.	
	DR	LAPIDUS et al. (1968), "Drug Release from Compressed Hydrophilic Matrices," Journal of	
		Pharmaceutical Sciences <u>57(8)1292-1301.</u>	
	DS	MAGGI et al. (2000), "High Molecular Weight Polyethylene Oxides (PEOs) as an Alternative to HPMC in	Г
		Controlled Release Dosage Forms," International Journal of Pharmaceutics 195:229-238.	1
	DT	MAGGI et al. (2000), "Highly Swellable Multi-Layer Tablets to Prolong the Residence Time of the	1
		Delivery in the Stomach," Journal of Controlled Release 64:269-347.	

Examiner	Date	
Signature	Considered	

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Complete if Known Substitute for form 1449A/PTO **Application Number** Divisional of 10/014,750 **Filing Date** Filed Herewith INFORMATION DISCLOSURE First Named Inventor Jenny LOUIE-HELM et al. STATEMENT BY APPLICANT Art Unit Unassigned (use as many sheets as necessary) **Examiner Name** Unassigned Sheet 4

Attorney Docket Number

3100-0003.10

of

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		OTHER DOCUMENTS — NONPATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), Title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Т
	DU	OTH et al. (1992), "The Bilayer Floating Capsule: A Stomach-Directed Drug Delivery System for Misoprostol," <i>Pharmaceutical Research</i> 9(3):298-302.	
	DV	RAO et al. (1988), "Swelling Controlled-Release Systems: Recent Developments and Applications," International Journal of Pharmaceutics 48:1-13.	
	DW	REYNOLDS et al. (1998), "Polymer Erosion and Drug Release Characterization of Hydroxypropyl Methylcellulose Matrices" <i>Journal of Pharmaceutical Sciences</i> 87(9):1115-1123.	
	DX	SHAMEEM et al. (1995), "Oral Solid Controlled Release Dosage Forms: Role of GI-Mechanical Destructive Forces and Colonic Release in Drug Absorption Under Fasted and Fed Conditions in Humans," <i>Pharmaceutical Research</i> 12(7):1049-1054.	
	DY	SIEPMANN et al. (1999) "HPMC Matrices for Controlled Drug Delivery: A New Model Combining Diffusion, Swelling, and Dissolution Mechanisms and Predicting the Release Kinetics" <i>Pharmaceutical Research</i> 16(11):1748-1756.	
	DZ	YANG et al. (1996), "Zero-Order Release Kinetics from a Self-Correcting Floatable Asymmetric Configuration Drug Delivery System," <i>Journal of Pharmaceutical Sciences</i> 85(2):170-173.	

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